



Local Strategic Partnership Assembly

Date:	Wednesday, 6 October 2010
Time:	4.30 pm
Venue:	Mersey Maritime, Monks Ferry ,Birkenhead

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AGENDA

1. **WELCOME, APOLOGIES, INTRODUCTIONS**
2. **ACTIONS PREVIOUS MEETING**
3. **DECLARATIONS OF INTEREST**
4. **WIRRAL'S FUTURE BE PART OF IT**

Presentation on council consultation process – Jane Morgan, Wirral Council

5. **COMPREHENSIVE ENGAGEMENT STRATEGY (Pages 1 - 14)**
 - (a) **Cohesive communities - Wirral's Communities and Cohesion Profile 2010** – presentation by Mott Macdonald
 - (b) **Compact, local and national developments** – Myrtle Lacey
 - (c) **Procurement and Commissioning update** – Maura Noone
6. **NATIONAL INDICATOR 188 ADAPTATION TO CLIMATE CHANGE**

Presentation followed by workshop – Hazel Edwards
7. **ANY OTHER BUSINESS**

8. DATE OF NEXT MEETINGS:VENUE MERSEY MARITIME

Wednesday 8th December, 4.30 – 6.30pm



Making the Compact work for you

Give us your views on the draft Renewed Compact

Autumn 2010

Compact Voice briefing - voluntary and public sectors

Why is this being done?

Text for a renewed Compact is currently being negotiated between government and the voluntary and community sector. This is part of a wider agreement which will include increased accountability and transparency measures, also to be outlined by the Government before the final text is agreed.

Why now?

Our aim with this document is that it will be easier to use and understand, aligned to current and emerging policy priorities, and will deliver better national and local partnerships. We are calling for views from the voluntary and community sector about the proposed document text, and also seeking your ideas about what else is needed to ensure the Compact is effectively followed.

We are seeking your views for the 6 week period from **Monday 20 September**, closing **Friday 29 October**. This shorter than usual deadline is to ensure that the Compact is in place and being used by government departments, and local councils, following the October spending review, when it's anticipated that further cuts may have to be made. It's vital that these are done in a Compact-compliant way.

Who is involved?

This draft text has been developed by Compact Voice and the Office for Civil Society, in discussion with Compact Voice's board. We want the final text to reflect the views and needs of the sector, and both partners have agreed that they will protect the core principles of the Compact - such as upholding independence, 12 week consultation, three months notice when funding decisions change or end, and three year funding when possible - which have been in place since its initial publication in 1998.

Please send us your responses using one of the following methods:

1. By FREEPOST to:

Compact Voice
FREEPOST WC4530
Regent's Wharf
8 All Saints Street
London N1 9BR

2. By email to compact@compactvoice.org.uk

3. By filling in an online form, linked from www.compactvoice.org.uk/renewal

4. By telephoning us on 0207 520 2451

How to respond

First **read the draft text** of the renewed Compact overleaf (pages 2-4), then **write your answers** in the spaces provided in the questions section (pages 5-7). When you have finished, send them back to Compact Voice using the FREEPOST address above (nb no stamp is required).

Draft re-negotiated Compact for consultation

Compact Voice Briefing Autumn 2010

The Compact is an agreement between the Government, and their associated Non-Departmental Public Bodies and Executive Agencies, and civil society organisations¹ (CSOs) in England. It is based upon the foundations that have always been at the heart of partnership working between the two – that in the development and delivery of public policy and services, the Government and CSOs have distinct but complementary roles; and that there is greater value in working in partnership towards common aims and objectives.

This agreement will be central in helping government to deliver their big society agenda, as an independent and diverse civil society is fundamental to the well-being of society. The Government believes that the innovation, expertise and ethos of CSOs is essential in tackling the social, economic, environmental and political challenges that the UK faces today.

Likewise ensuring the relationship is as productive as possible is central to many CSOs, who engage with government in a range of ways from representation and campaigning to delivering services. They range from informal community groups to larger formal organisations.

Central to this agreement are the principles of respect, honesty, the value of volunteering, and the value of support and development to organisations on the front line. All underpinned through open communication.

BEGINS

Outcomes for Government and Civil Society Organisations

1. **Civil Society Organisations' independence is recognised and valued**
2. **Effective and innovative policies, programmes and services, incorporating social value**
3. **Well managed programmes and services**
4. **Minimised impact of ending funding**
5. **An equal and fair Society**

Commitments for Government and Civil Society Organisations

1 Civil society organisations' independence is recognised and valued

Undertakings for government:

- 1.1 Respect the independence of CSOs to deliver their mission, including their ability to campaign, regardless of any financial or other relationship which may exist.
- 1.2 Ensure that CSOs are supported to engage with government.

Undertakings for civil society organisations:

- 1.3 Campaign and advocate responsibly, based on robust evidence.
- 1.4 Ensure they uphold their independence, and remain focused on their mission, irrespective of any relationship with government.

¹ One of our consultation questions asks which organisations the Compact should cover.

2 Effective and innovative policies, programmes and services, incorporating social value

Undertakings for government:

- 2.1 Work with CSOs to plan policies, programmes and services from the earliest stages of development, ensuring those likely to have a view are involved from the beginning.
- 2.2 Reduce the bureaucratic burden on CSOs, particularly on small organisations, and assess the implications for CSOs of new policies, legislation and guidance, including keeping Criminal Record Bureau (CRB) checks and Independent Safeguarding Authority (ISA) registration free to volunteers.
- 2.3 Give early notice of forthcoming consultations, where possible, allowing enough time for CSOs to involve their service users, beneficiaries, members, volunteers and trustees in preparing responses. Conduct 12-week formal written consultations, with an explanation given for shorter time-frames or a more informal approach.
- 2.4 Consider social value as a standard part of policies, programmes and services.

Undertakings for civil society organisations:

- 2.5 Promote government consultations with their membership where appropriate
- 2.6 Seek the views of service users, beneficiaries, members, volunteers, and trustees, where possible, when making representation to government. Be clear on who is being represented, in what capacity, and on what basis that representation is being made.
- 2.7 Focus on solutions to issues, with clear proposals for change where relevant.

3 Well managed programmes and services

Undertakings for government:

- 3.1 Consider the most appropriate ways of funding or supporting organisations. These methods could include grants, contracts, loan finance, training, use of premises or other support. A clear rationale should be provided for the decision.
- 3.2 Ensure well managed application and tendering processes, proportionate to the desired objectives and outcomes of programmes.
- 3.3 Ensure that decisions are properly explained, giving specific reference to legislation or other guidance where relevant.
- 3.4 Discuss and allocate risks to the organisation(s) best equipped to manage them. Ensure that delivery terms and risks are proportionate to the nature and value of the opportunity.
- 3.5 Make payments in advance of expenditure where there is a clear need and where it represents value for money.
- 3.6 Commit funding for three years or more. If this is not the best way to deliver the best value for money, explain clearly what alternative funding arrangements could deliver the same outcomes.
- 3.7 Recognise that it is appropriate for CSOs to include relevant overheads and administrative costs when applying for grants, accept the recovery of costs associated with volunteering, and agree how underspend will be managed.
- 3.8 Discuss and agree with CSOs how outcomes, including value for money and social value, will be monitored before a contract or funding agreement is signed. Ensure that monitoring and reporting is proportionate to the nature and value of the opportunity. Be clear about what information is being requested, why, and how it will be used.
- 3.9 [Ensure that prime and sub-contractors and all other public and private bodies distributing public money, or delivering programmes or activities on behalf of government, commit to working within the Compact by making it a requirement of funding arrangements.] – *This is under review within government*
- 3.10 [Apply the Compact when distributing European funding. Where conflicts arise with European regulations, discuss the potential effects and agree solutions together.] – *This is under review within government*

Undertaking for civil society organisations:

- 3.11 Ensure eligibility for funding and finance agreement before applying and be explicit about how to deliver outcomes.
- 3.12 Ensure robust governance arrangements so that organisations are well placed to manage risk associated with service delivery.
- 3.13 Recognise that it is legitimate for the Government to ask for public recognition of their funding.
- 3.14 Be open and transparent about reporting, recognising that monitoring whether internal or external is an aspect of good management practice.
- 3.15 Give early notice to funders of significant changes in management, funding or other risks.

4 Minimised impact of ending funding

Undertakings for government:

- 4.1 If the project is encountering problems, discuss and agree with CSOs a timetable of actions to improve performance before making a decision to end a financial relationship.
- 4.2 Assess the impact on beneficiaries, service users and volunteers before deciding to reduce or end funding.
- 4.3 Where there are restrictions or changes to future resources, discuss with CSOs the potential implications as early as possible, giving organisations the opportunity to respond. Consider the response before making a final decision.
- 4.4 Give a minimum of three months' notice in writing when changing or ending a funding relationship or other support, and provide a clear rationale for why the decision has been taken.

Undertakings for civil society organisations:

- 4.5 Plan for the end of funding to reduce any potential impact on beneficiaries and the organisation.
- 4.6 Contribute positively to reviews of programmes and funding practice.

5 An equal and fair Society

Undertakings for government:

- 5.1 Work with CSOs that represent people protected by legislation and other under-represented groups to understand their specific needs and take these into consideration when designing and implementing policies, programmes and services.
- 5.2 Acknowledge that organisations that represent specific disadvantaged and under-represented group or groups can help to promote social and community cohesion.
- 5.3 Take practical action to eliminate discrimination, advance equality and to provide a voice for under-represented and disadvantaged groups to ensure that communities are inclusive and fair for all.

Undertakings for civil society organisations:

- 5.4 Demonstrate where the value of their work can help public bodies deliver their public sector duties on promoting equality and tackling discrimination.
- 5.5 Take practical action, such as through funding bids, to eliminate discrimination, advance equality of opportunity and build community links.

ENDS

Now continue to the questions section overleaf

The Questions

1. Considering the draft text (pages 4-6):

A. What do you like about it?

B. What do you not like about it?

2. What commitments in the renewed Compact do you most support?

3. Are there any additional commitments you would like to see?

About using the Compact:

4. What accountability mechanisms should be in place - nationally and/or locally - to ensure that the Compact is followed?

5. What other measures - local and/or national - are needed to ensure that the Compact is followed?

Other questions:

6. What types of organisations should be covered by the Compact?

7. Do you have any other comments?

Your details:

Name:

Job title:

Organisation:

Email address:

Local or Regional Area:

I would like to become a member of the Compact Voice Network (free news, updates, resources and Compact advice): **Y / N**

Now please turn to the front for FREEPOST details to return this form. Many thanks!

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WIRRAL LOCAL STRATEGIC PARTNERSHIP**STRATEGIC PARTNERSHIP ASSEMBLY****WEDNESDAY 6th OCTOBER 2010****REPORT OF THE DIRECTOR OF ADULT SOCIAL SERVICES****UPDATE ON THE PROGRESS OF THE COMMISSIONING & PROCUREMENT SUB-GROUP OF THE COMPREHENSIVE ENGAGEMENT STRATEGY****1. EXECUTIVE SUMMARY**

- 1.1. This report provides Wirral Strategic Partnership Assembly members with an update of the work of the Commissioning and Procurement sub-group of the Comprehensive Engagement Strategy, which is concentrating on streamlining and simplifying the way in which services are commissioned from the voluntary sector.

2. BACKGROUND

- 2.1. The sub-group is one of four which reports into the Comprehensive Engagement Strategy Steering Group. It was set up in order to: bring together several funding streams for the voluntary sector; establish a set of core principles, working within the Wirral Compact by which funding organisations will abide; establish a framework for commissioning to meet the future needs of Wirral people, and establish a simple funding mechanism and contract monitoring process.
- 2.2. The group is made up of senior representatives from several Local Authority Departments, NHS Wirral and VCAW. It is chaired by the Head of Branch for Communities and Wellbeing in the Department of Adult Social Services and supported by the Corporate Services Department of the Local Authority and the Strategic Partnerships Directorate of NHS Wirral.
- 2.3. The group has been meeting on a regular basis in order to agree a set of principles establish, clarifying priorities, put together a framework and agree a workplan.

3. AIMS AND OBJECTIVES OF THE COMMISSIONING & PROCUREMENT SUB-GROUP

- 3.1. The aims of the group are to:
 - To have responsibility for strategic co-ordinating of commissioning – procurement principles with the commissioning, voluntary and faith sector in line with Compact Commissioning Guidance (2009)
 - To be responsible to CES in relation to Commissioning and Procurement in respect of the voluntary, community and faith sector
 - To have responsibility for a Commissioning and Procurement Strategy within the principles and key priorities of the CES

- To commission and procure services from the voluntary, community and faith sector using the Early Intervention and Prevention Strategy
- To encourage and enable the voluntary, community and faith sector to provide a wider range of services for vulnerable people in Wirral than at present

3.2. The objectives include:

- Ensuring consistency of funding to ensure there are no overlaps or duplication of commissioning/funding
- Developing a contract calendar with key organisations that highlights key commissioning priorities
- The voluntary, community and faith sectors enjoy the same contractual arrangements as other independent sector providers
- The voluntary, community and faith sectors to have confidence and ability to grow in terms of providing a wide range of services
- A cohesive approach to working with the third sector which is open and transparent
- Building capacity within the community, voluntary and faith sectors to understand and participate in Total Commissioning

4. FRAMEWORK FOR COMMISSIONING

4.1. An Early Intervention Strategy for Wirral was agreed by Cabinet in July 2010. This follows the principle that at every stage of a person's life, there is the possibility of making sure that they stay well, or if they have a long-term condition or illness, that they do not deteriorate more quickly than they would if there was no intervention, or, that they can be maintained in their own home for as long as possible, including up to their death. The strategy is an essential part of the transformation agenda for social care and is primarily aimed at older people and people with a disability.

4.2. The model for early intervention is split across seven themes:

- Building Communities
- Citizenship
- Healthier Communities
- Information & Advice
- Practical Support
- Enablement
- Maintaining Independence

4.3. It can be used in a variety of ways to help establish where gaps in funding exist or where particular population groups are not receiving attention, or to increase targeting of resources: for example, in tackling health inequalities. The themes are

cross-referenced with population groups, eg older people, carers, people with a learning disability, unemployed people (The framework is attached in Appendix I).

- 4.4. Therefore, although the themes were developed primarily for social care, there is applicability across a wide area of possible commissioning.

5. PROGRESS

- 5.1. A set of principles based on good practice, the Compact and the aims and objectives has been established (please see Appendix 2).
- 5.2. The aims, objectives and framework have been agreed.
- 5.3. A timetable has been established which is currently mapping all services delivered and funded by NHS Wirral and/or the Local Authority against the early intervention framework.
- 5.4. The following priority areas have been identified as those which will be targeted in the first instance:
- Information & Advice
 - Practical Support
 - Healthy Living

This means an approach whereby commissioning priority for future need is established.

- 5.5. Arrangements are being made for workshops for the community, voluntary and faith sectors on this approach.
- 5.6. A single and simple approach to developing a single contract for services is in the early stages of development.
- 5.7. Recommendations for future funding or for single approaches which bring together two agencies will be made via the Cabinet of the Local Authority and the appropriate NHS committee or board.

6. CONCLUSION

- 6.1. The role of the community, voluntary and faith sector is central to maintaining the welfare of people in Wirral, particularly the most vulnerable.
- 6.2. It has however been reliant on short-term and sometimes uncertain funding. It has not enjoyed the same level of contractual arrangement as other organisations in the private sector. Many of the arrangements are long-standing and historical with few monitoring arrangements in place. The sector is grant-funded for the most part, and while this may be suitable in some areas, there are others which need to move to a different basis.

- 6.3. Current arrangements are not efficient or effective and statutory organisations are not always aware of each other's funding arrangements. The Commissioning and Procurement sub-group will address and resolve these issues.

7. BACKGROUND PAPERS

- 7.1. An Early Intervention Strategy for Wirral: Cabinet Report, July 2010
7.2. Minutes of the Commissioning and Procurement sub-group

8. RECOMMENDATIONS

- 8.1. Assembly members are asked to note the report and invited to comment on the contents of this report.

JOHN WEBB
Director of Adult Social Services

Report prepared by:
Maura Noone, Head of Branch
Integrated Communities & Wellbeing
Department of Adult Social Services

Date: 28 September 2010

APPENDIX 2**PRINCIPLES OF COMMISSIONING & PROCUREMENT FROM THE THIRD SECTOR**

- ◆ Understand the needs of users and other communities by ensuring that, alongside other consultees, we engage with the third sector organisations, as advocates, to access their specialist knowledge, and involve the third sector in setting priority outcomes for users.

- ◆ Ensure that outcomes for users are at the heart of the strategic planning process, which includes capacity building for provider and communities, where appropriate.

- ◆ Map the fullest practical range of providers with a view to understanding the contribution they could make to delivering those outcomes.

- ◆ Ensure contracting processes are transparent, fair and streamlined, facilitating the involvement of the broadest range of suppliers, including sub-contracting and consortia building, where appropriate.

- ◆ Ensure long-term contracts are given, where possible, as a means of achieving efficiency and effectiveness.

- ◆ Seek feedback from service users, communities and providers in order to review the effectiveness and impact of the commissioning process in meeting local needs.

- ◆ Priority will be given within the procurement process to organisations and services which can demonstrate added social value.

- ◆ Develop innovative approaches to commissioning and procurement, including pooling of resources.

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